

U. Douglas
#8/P
10/19/00

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence, pursuant to 37 C.F.R. §1.8, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on:

Date: October 6, 2000

By _____
Signature of Person Depositing Mail

PATENT

**IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE**

Applicants: Sugiyama, et al.

Docket No.: 30598.0004

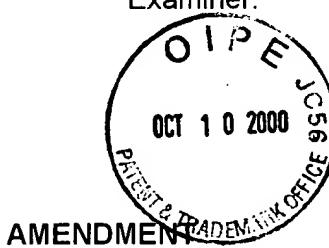
Serial No.: 08/960,431

Art Unit: 3723

Filed: October 10, 1997

Examiner: Nguyen, G.

FOR: FLATTENING METHOD AND
FLATTENING APPARATUS
OF A SEMICONDUCTOR
DEVICE



Box NON-FEE AMENDMENT
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
OCT 18 2000
TECHNOLOGY CENTER 3700

Dear Sir:

In response to the Office Action dated June 6, 2000, the period of response for which is hereby extended by one-month to Friday, October 6, 2000, please amend the above-identified application as follows:

CLAIMS

1. (Thrice Amended) A flattening method of a semiconductor device by a chemical-mechanical polishing process comprising,

preparing a synthetic resin polishing cloth in a circular form and a tool for forming a surface layer of the synthetic resin polishing cloth to have fluff thereon in a polishing process, said tool having an annular shape with a diameter less than a radial length of the polishing cloth, and

rotating the polishing cloth along a central axis thereof and pressing the tool on a radial portion of the polishing cloth, said tool being moved along [a radial direction of] the polishing cloth [and

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3723

CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence, pursuant to 37 C.F.R. §1.8, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on:

Date: October 6, 2000

By John Williams

PATENT

**IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE**

RESPONSE TRANSMITTAL

Applicant(s): Sugiyama, et al.

Docket No.: 30598.0004

Serial No.: 08/960,431

Group Art Unit: 3723

Filing Date: October 10, 1997

Examiner: Nguyen

**TITLE: FLATTENING METHOD AND
FLATTENING APPARATUS OF A
SEMICONDUCTOR DEVICE**

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
OCT 13 2000
TECHNICAL CENTER 3700

Dear Sir/Madam:

Attached herewith is a Response to the Office Action mailed on June 6, 2000 in the above-identified application.

FEE CALCULATION, CLAIMS AS AMENDED:

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Additional Fee
Total Effective Claims	21	minus 21	= 0 x \$ 18.00 =	0.00
Independent Claims	4	minus 4	= 0 x \$ 78.00 =	0.00
If amendment enters proper multiple dependent claim(s) into this application for first time (per application)			\$260.00 +	N/A
			Subtotal	0.00

Fee Calculation: Request for Extension of Time pursuant to
37 C.F.R. §1.136(a)

[X]	Response filed within first month after due date – add	\$ 110.00	\$110.00
[]	Response filed within second month after due date – add	\$ 390.00	
[]	Response filed within third month after due date – add	\$ 890.00	
[]	Response filed within fourth month after due date – add	\$1390.00	
[]	Response filed within fifth month after due date – add	\$1890.00	
		TOTAL:	
			\$110.00

If one of the “small entity” boxes above is checked, enter half (½) of the second subtotal and **subtract**.

Information Disclosure Statement Pursuant to 37 C.F.R. §§1.97-1.98

N/A

TOTAL ADDITIONAL FEE ENCLOSED \$ 110.00

[] Please charge Deposit Account No. 19-2814 in the amount of \$_____.
A **duplicate copy of this sheet is attached**.

[X] Check No. 282140 in the amount of \$110.00 is attached.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any other fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (deficiency only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814 for which purpose a **duplicate copy of this sheet is attached**.

Respectfully submitted,

By 
R. Lee Fraley
Reg. No. 42,550

Dated October 6, 2000

SNELL & WILMER, L.L.P.
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